

part #4

Sheet 1 of 5

Form PTO-1449 (Reproduced)	Docket Number (Optional) <b>CL-1035</b>	Application Number <b>UNKNOWN</b>
	Applicant <b>ROBERT D. FALLON ET AL.</b>	
	Filing Date <b>JULY 21, 1997</b>	Group Art Unit <b>UNKNOWN</b>

**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION**  
(Use several sheets if necessary)

**U. S. PATENT DOCUMENTS**


EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
MP	US 4,863,619	9/5/89	Border, Sr. et al.	2510	517	
MP	US 4,275,158	6/23/81	Taoka et al.	435	136	
MP	US 4,220,720	4/22/80	Taoka et al.	435	142	
MP	US 5,254,466	10/19/93	Picattaggio et al.	435	142	
MP	US 3,796,630	3/12/74	Wegner	435	143	
MP	US 4,767,828	8/30/88	Lodoen	525	440	

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
						YES	NO
MP	JP 08113771	5/96	JAPAN	—	—		
MP	JP 57129694	8/82	JAPAN	—	—		
MP	45024392 JP 70024392		JAPAN	—	—		
MP	51006750 JP 76006750	3/76	JAPAN	—	—		
MP	EP 229252	7/87	EUROPE	—	—		

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

MP	DALE ET AL., J. SCI. FOOD AGR., 6:162, (1955)
MP	Klug et al., Adv. in Microbial Physiology, 5:1-43, (1971)
MP	Zimmer et al., DNA & Cell Biology, 14:619-628, (1995)

EXAMINER 	DATE CONSIDERED 7/22/99
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						YES	NO
<i>MPW</i>	DE 3929337	3/91	Germany	—	—	X	
<i>MPW</i>	DE 4019166	12/91	Germany	—	—	X	
<i>MPW</i>	EP 296506	4/93	Europe	—	—	X	
<i>MPW</i>	EP 316702	5/89	Europe	—	—	X	

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*

<i>MPW</i>	Picataggio et al., Bio/Technology, 10:894-898, (1992)
<i>MPW</i>	Bos et al., Antonie van Leeuwenhoek, 39:99-107, (1973)
<i>MPW</i>	Kargel et al., Yeast, 12:333-348, (1996)

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						YES	NO
<i>MPW</i>	JP 52018885	2/77	Japan	—	—		
<i>MPW</i>	DE 19507546	9/96	Germany	—	—		
<i>MPW</i>	WO 9114781	10/91	PCT	—	—		

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*

<i>MPW</i>	A	Ohkuma et al., DNA & Cell Biology, 14:163-173
<i>MPW</i>		American Type Culture Collection, 1995 Yeast Reference Guide, 19th edition
<i>MPW</i>		Meyer et al., Arch. Microbiol., 104:225-231, (1975)

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

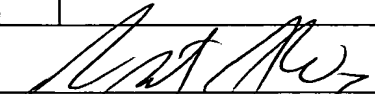
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						YES	NO

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

	<b>B</b>	Ohkuma et al., Biosci. Biotech. Biochem., 57:1793-1794, (1993)
		Pesole et al., Genetics, 141:903-907, (1995)
		Cai et al., Internat. J. Sys. Bacteriol., 46:542-549, (1996)
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		DATE CONSIDERED <b>7/23/99</b>

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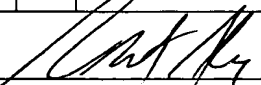
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						YES	NO

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*

		Masuda et al., Gene, 167: 157-161 (1995)
	c	Ohkuma et al., Biochim. Biophys. Acta., 1236: 163-169, (1995)
		Cregg et al., Bio/Technology, 11:905-910, (1993)

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Form PTO-1449  
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(Use several sheets if necessary)Docket Number (Optional)  
**CL-1035**Application Number  
**09/116,502**

Applicant

**FALLON ET AL.**

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**JULY 16, 1998**

Group Art Unit

**1633****U. S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
						YES	NO
	<del>DE 195 07 546 A</del>	<del>9/12/96</del>	<del>GERMANY</del>				<del>X</del>
	<del>EP 0 296 506 A</del>	<del>12/28/88</del>	<del>EUROPEAN</del>				<del>X</del>
<i>MW</i>	WO 91 06660 A	5/16/91	PCT	—	—		

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>MW</i>	PARK, S. ET AL., GALACTOSE-INDUCIBLE EXPRESSION SYSTEMS IN CANDIDA MALTOSE USING PROMOTERS OF NEWLY-ISOLATED GAL1 AND GAL1 GENES, YEAST, VOL. 13', 21-29, 1997
	<del>KARGEL ET AL., CANDIDA MALTOSE NADPH-CYTOCHROME P450 REDUCTASE, YEAST, VOL. 12, 333-48, 1996</del>

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